



Government of India

R F D

(Results-Framework Document)
for

National Institute of Urban Affairs
(2013-2014)

Section 1: Vision, Mission, Objectives and Functions

Vision

To be a Center of Excellence in Asia on urban development issues and to support sustainable and inclusive urban development in India

Mission

Mission : To be a knowledge center of research, training and information dissemination in urban development and management

Objectives

- 1 Coordinate research and carry out evaluation and appraisal of urban development programmes
- 2 To facilitate research on urban issues for formulating urban policies and sustainable urban development programmes
- 3 To disseminate and support exchange of information on innovations, best practices and reforms in the field of urban development
- 4 Capacity building and training of functionaries on pressing urban issues

Functions

- 1 Preparation of comprehensive frame of reference for policy and programme oriented urban research in India;
- 2 To undertake and coordinate research; to promote effective utilization of emerging knowledge through programmes of Government of India such as JnNURM, UIDSSMT and capacity building of satellite towns. The results and its application to field problems; relating research to the practical problems of urban areas; and action research for programme formulation and implementation;
- 3 Projecting the perspectives of integrated rural-urban planning and development to the general public and the decision makers - both administrative and legislative - and also providing necessary forum by arranging seminars and workshops and other means, for an objective discussion with a view to evolve policies and programmes for meeting the new challenges; and
- 4 Collection, analysis and dissemination of information and intelligence on rural-urban processes through various communication channels including the publication of a journal; and maintenance of website.

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
[1] Coordinate research and carry out evaluation and appraisal of urban development programmes	30.00	[1.1] Coordinate and update the study on 'Impact of 74th CAA on Working of ULBs' along with IIPA, and RCUES, Lucknow/Hyderabad/Mumbai	[1.1.1] Timely completion of study	%	3.00	--	--	80	--	--
		[1.2] Provide research support to MOUD to respond to policies, reports, notes and proposals received from Parliament Committees, State Governments, ULBs, Government Departments/Parastatals, NGOs, and others	[1.2.1] Responds to policies, reports, notes and proposals received as and when needed	%	7.50	100	--	--	--	--
		[1.3] Provide support to selected ULBs on property tax and improved municipal accounting system under Satellite Town Development Scheme	[1.3.1] Timely completion of study	%	1.50	--	--	--	70	--
		[1.4] Support JNNURM in terms of Reform Appraisal.	[1.4.1] Timely completion of field visits and report writing	%	7.50	--	--	80	--	--
		[1.5] National Urban Sanitation Policy (NUSP) Coordination Cell	[1.5.1] Timely completion of study and appropriate policy intervention	%	1.50	--	--	80	--	--
		[1.6] Strengthen and Harmonize Research and Action on	[1.6.1] Timely completion of study and	%	4.50	--	--	80	--	--

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
		Migration in Indian Context	appropriate policy intervention							
		[1.7] Demographic, Economic and Social Structures of Different Sizes of Urban Settlements in India (HUDCO Chair)	[1.7.1] Timely completion of study and appropriate policy intervention	%	4.50	--	--	80	--	--
[2] To facilitate research on urban issues for formulating urban policies and sustainable urban development programmes	40.00	[2.1] Operationalize CFC/SFCs Support Cell at NIUA	[2.1.1] Timely completion of study	%	6.00	--	--	80	--	--
		[2.2] Preparation of Supplementary Budget Format	[2.2.1] Timely completion of study	%	6.00	--	--	80	--	--
		[2.3] Review of Delhi Master Plan	[2.3.1] Timely completion of study	%	6.00	--	--	--	70	--
		[2.4] Review of Model Municipal Law	[2.4.1] Timely completion of study	%	6.00	--	--	--	70	--
		[2.5] Working Group on 14th Central Finance Commission	[2.5.1] Timely completion of study and appropriate policy intervention	%	8.00	--	--	--	70	--
		[2.6] Asia Cities Climate Change Resilience Network (ACCCRN)	[2.6.1] Timely completion of study and appropriate policy intervention	%	8.00	--	--	--	70	--
[3] To disseminate and support exchange of information on innovations, best practices and reforms in the field of urban	15.00	[3.1] Efficient management of PEARL	[3.1.1] Best practice documentation	%	6.00	--	90	--	--	--

Section 2: Inter se Priorities among Key Objectives, Success indicators and Targets

Objective	Weight	Action	Success Indicator	Unit	Weight	Target / Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100%	90%	80%	70%	60%
development										
		[3.2] Publication in urban sector	[3.2.1] Newsletters/ Journals (6)	number	9.00	--	--	80	--	--
[4] Capacity building and training of functionaries on pressing urban issues	15.00	[4.1] Holding workshops for the purpose of dissemination	[4.1.1] Number of Workshops (Participants)	Number	9.00	--	90	--	--	--
		[4.2] Efficient management of websites	[4.2.1] Websites (Hits per month)	Number	6.00	--	--	80	--	--

* Mandatory Objective(s)

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
[1] Coordinate research and carry out evaluation and appraisal of urban development programmes	[1.1] Coordinate and update the study on 'Impact of 74th CAA on Working of ULBs' along with IIPA, and RCUES, Lucknow/Hyderabad/Mumbai	[1.1.1] Timely completion of study	%	70	80	100	--	--
	[1.2] Provide research support to MOUD to respond to policies, reports, notes and proposals received from Parliament Committees, State Governments, ULBs, Government Departments/Parastatals, NGOs, and others	[1.2.1] Responds to policies, reports, notes and proposals received as and when needed	%	100	100	100	100	100
	[1.3] Provide support to selected ULBs on property tax and improved municipal accounting system under Satellite Town Development Scheme	[1.3.1] Timely completion of study	%	80	100	100	--	--
	[1.4] Support JNNURM in terms of Reform Appraisal.	[1.4.1] Timely completion of field visits and report writing	%	100	100	100	--	--
	[1.5] National Urban Sanitation Policy (NUSP) Coordination Cell	[1.5.1] Timely completion of study and appropriate policy intervention	%	--	90	100	--	--
	[1.6] Strengthen and Harmonize Research	[1.6.1] Timely completion of study and	%	--	30	60	80	100

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
	and Action on Migration in Indian Context	appropriate policy intervention						
	[1.7] Demographic, Economic and Social Structures of Different Sizes of Urban Settlements in India (HUDCO Chair)	[1.7.1] Timely completion of study and appropriate policy intervention	%	--	30	65	100	--
[2] To facilitate research on urban issues for formulating urban policies and sustainable urban development programmes	[2.1] Operationalize CFC/SFCs Support Cell at NIUA	[2.1.1] Timely completion of study	%	--	80	100	--	--
	[2.2] Preparation of Supplementary Budget Format	[2.2.1] Timely completion of study	%	--	80	100	--	--
	[2.3] Review of Delhi Master Plan	[2.3.1] Timely completion of study	%	--	80	100	--	--
	[2.4] Review of Model Municipal Law	[2.4.1] Timely completion of study	%	--	80	100	--	--
	[2.5] Working Group on 14th Central Finance Commission	[2.5.1] Timely completion of study and appropriate policy intervention	%	--	80	100	--	--
	[2.6] Asia Cities Climate Change Resilience Network (ACCCRN)	[2.6.1] Timely completion of study and appropriate policy intervention	%	--	10	80	100	--
[3] To disseminate and support exchange of information on innovations, best practices and reforms in the field of	[3.1] Efficient management of PEARL	[3.1.1] Best practice documentation	%	100	100	100	--	--

Section 3: Trend Values of the Success Indicators

Objective	Action	Success Indicator	Unit	Actual Value for FY 11/12	Actual Value for FY 12/13	Target Value for FY 13/14	Projected Value for FY 14/15	Projected Value for FY 15/16
urban development								
	[3.2] Publication in urban sector	[3.2.1] Newsletters/ Journals (6)	number	6	6	6	--	--
[4] Capacity building and training of functionaries on pressing urban issues	[4.1] Holding workshops for the purpose of dissemination	[4.1.1] Number of Workshops (Participants)	Number	500	500	400	--	--
	[4.2] Efficient management of websites	[4.2.1] Websites (Hits per month)	Number	250000	200000	200000	--	--

* Mandatory Objective(s)

Section 4: Acronym

Sl.No	Acronym	Description
1	CAA	Constitutional Amendment Act
2	CFC	Central Finance Commission
3	JNNURM	Jawaharlal Nehru National Urban Renewal Mission
4	MOUD	Ministry of Urban Development
5	NGO	Non Government Organisation
6	NUSP	National Urban Sanitation Policy

Section 4: Acronym

Sl.No	Acronym	Description
7	PEARL	Peer Experience and Reflective Learning
8	ULB	Urban Local Body

Section 4: Description and Definition of Success Indicators and Proposed Measurement Methodology

SI.No	Success indicator	Description	Definition	Measurement	General Comments
1	[1.2.1] Responds to policies, reports, notes and proposals received as and when needed	To respond to policy notes, reports and proposals sent by the Ministry.	Prepare policy notes, reports and proposals	Timely completion	Good track record
2	[1.3.1] Timely completion of study	To submit the study report on time. Incorporating the details mentioned in the study objectives.	Complete the work in stipulated time and provide quality research	Incorporation of key outcomes in policy documents	Good track record
3	[3.1.1] Best practice documentation	Compilation on good practices on various sectors of Urban Development	Preparation of compendium of good practices	Peer learning by various stakeholders	Often referred and used by various stakeholders
4	[4.2.1] Websites (Hits per month)	Number of visitors on the websites in a month	Users accessing the websites	Visitors accessing sites	Good track record

Section 5 : Specific Performance Requirements from other Departments

Location Type	State	Organisation Type	Organisation Name	Relevant Success Indicator	What is your requirement from this organisation	Justification for this requirement	Please quantify your requirement from this Organisation	What happens if your requirement is not met.
Central Government		Ministry	Ministry of Statistics and Programme Implementation (MoSPI)	[1.6.1] Timely completion of study and appropriate policy intervention [1.7.1] Timely completion of study and appropriate policy intervention	Unit Level Data	To meet the objectives of the project	50% of the project outcome	The objective of the study will not be fulfilled

Section 6: Outcome/Impact of Department/Ministry

Outcome/Impact of Department/Ministry	Jointly responsible for influencing this outcome / impact with the following department (s) / ministry(ies)	Success Indicator	Unit	FY 11/12	FY 12/13	FY 13/14	FY 14/15	FY 15/16
1 The research outcome would help the MOUD in framing policies at the national level	The State Governments, Urban Local Bodies and other stakeholders in the urban sector	Policy research	%	100	100	100	100	100