



RFD

**Result Framework Document
For
National Institute of Urban Affairs**

(2011-2012)¹

¹ *Trend values of the success indicators updated till June 2011.*

Section 1: Vision, Mission, Objectives and Functions

Vision

To be a Center of Excellence in Asia on urban development issues and to support sustainable and inclusive urban development in India

Mission

To be a knowledge center of research, training and information dissemination in urban development and management

Objectives

1. To facilitate research on urban issues for formulating urban policies and sustainable urban development programmes
2. Coordinate research and carry out evaluation and appraisal of urban development programmes
3. To disseminate and support exchange of information on innovations, best practices and reforms in the field of urban development
4. Capacity building and training of functionaries on pressing urban issues

Functions

1. Preparation of comprehensive frame of reference for policy and programme oriented urban research in India;
2. To undertake and coordinate research; to promote effective utilization of emerging knowledge through programmes of Government of India such as JnNURM, UIDSSMT and capacity building of satellite towns. The results and its application to field problems; relating research to the practical problems of urban areas; and action research for programme formulation and implementation;
3. Projecting the perspectives of integrated rural-urban planning and development to the general public and the decision makers - both administrative and legislative - and also providing necessary forum by arranging seminars and

workshops and other means, for an objective discussion with a view to evolve policies and programmes for meeting the new challenges; and

4. Collection, analysis and dissemination of information and intelligence on rural-urban processes through various communication channels including the publication of a journal; and maintenance of website.

Proposed Annual Activities:

- Support to Subgroups on 12th FYP/NDC Sub Committees on Urban Development
- Support to National Mission on Sustainable Habitat
- Environmental and social assessment guidelines for urban water supply and sewerage projects
- (i) Operationalize CFC/ SFCs Support Cell at NIUA and
(ii) Provide support to selected ULBs on Property Tax and Improved Municipal Accounting system under Satellite Town Development Scheme
- Coordinate data compilation on ‘Impact of 74th CAA on Working of ULBs’ along with IIPA, and RCUES, Lucknow/Hyderabad/Mumbai.
- Provide research support to MOUD to respond to policies, reports, notes and proposals received from Parliament Committees, State Governments, ULBs, Government Departments/Parastatals, NGOs, and others.
- Support JNNURM in terms of: (i) coordination of PEARL, (ii) Reform Appraisal, (iii) MIS, (iv) TAG, etc.
- Publish Urban India Journals (bi-annual).
- Disseminate information about MOUD’s programmes and projects through newsletters and websites.
- Follow up of the 13 CFC recommendations
- Capacity Building Training Programme in JNNURM and UD Sectors, etc. to be undertaken on e-governance, accounting and property tax.

Section 2:
Inter- SE Priorities among key objectives, success indicators and targets

Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/ Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100 pc	90pc	80	70	60
To provide knowledge support for formulating urban policies for sustainable urban development	30	Environmental and social assessment guidelines for urban water supply and sewerage projects	Timely completion of study	%	5	100	95	90	85	80
		Support to National Mission on Sustainable Habitat	Formulation of Plan of Action for specific sectors	%	10	100	95	90	85	80
		Operationalize CFC/SFCs Support Cell at NIUA	Timely completion of study	%	5	100	95	90	85	80
		Coordinate data compilation on 'Impact of 74 th CAA on Working of ULBs' along with IIPA, and RCUES, Lucknow/Hyderabad/Mumbai	Timely completion of study	%	10	100	95	90	85	80
Coordinating research and carry out evaluation and appraisal of urban development programme as per need	25	Provide research support to MOUD to respond to policies, reports, notes and proposals received from Parliament Committees, State Governments, ULBs, Government Departments/Parastatals, NGOs, and others	Responds to policies, reports, notes and proposals received as and when needed	%	5	100	95	90	85	80

Objective	Weight	Actions	Success Indicator	Unit	Weight	Target/ Criteria Value				
						Excellent	Very Good	Good	Fair	Poor
						100 pc	90pc	80	70	60
		Provide Support to selected ULBs on property tax and improved municipal accounting system under Satellite Town Development Scheme	Timely completion of study	%	5	100	95	90	85	80
		Support JNNURM in terms of Reform Appraisal (UIG and UIDSSMT)	Timely completion of field visits and reports	Number	5	62	60	58	56	54
		Support to Subgroups on 12 th FYP/NDC Sub Committees on Urban Development	Timely completion of reports	%	5	100	95	90	85	80
		Efficient management of PEARL	Number of best practice documentation (40)	%	5	100	95	90	85	80
To disseminate and support exchange of information on innovations reforms and best practices in the field of urban development	20	Publication in urban sector	Newsletters/ Journals (6)	Number	10	6	5	4	3	2
		Follow up of the 13 th CFC recommendations	Compliance of conditions by states	%	10	100	95	90	85	80
Capacity building and training of functionaries on pressing urban issues	25	Capacity Building Training Programme in JNNURM and UD Sectors, etc. to be undertaken on e-governance, accounting and property tax.	Number of participants attending Workshops	Number (Participants)	10	500	400	300	200	100
		Holding workshops for the purpose of dissemination	Number of participants attending Workshops	Number (Participants)	10	85	75	65	55	45
		Efficient management of websites	Maximum number of websites hits per month	Number	5	250000	225000	200000	175000	150000

Section 3: Trend values of the success indicators

Objective	Actions	Success Indicator	Unit	Actual value for FY 09/10	Actual value for FY 10/11	Target value for FY 11/12	Actual value till 30th June for FY 11/12	Projected value for FY 12/13	Projected value for FY 13/14
To provide knowledge support for formulating urban policies for sustainable urban development	Environmental and social assessment guidelines for urban water supply and sewerage projects	Timely completion of study	%	.	-	100	25		
	Support to National Mission on Sustainable Habitat	Formulation of Plan of Action for specific sectors	%	-	-	100	5		
	Operationalize CFC/SFCs Support Cell at NIUA	Timely completion of study	%		100	100	50		
	Coordinate and update the study on 'Impact of 74 th CAA on Working of ULBs' along with IIPA, and RCUES, Lucknow/Hyderabad/Mumbai	Timely completion of study	%		70	80	10		
Coordinate research and carry out evaluation and appraisal of urban development programme as per need	Provide research support to MOUD to respond to policies, reports, notes and proposals received from Parliament Committees, State Governments, ULBs, Government Departments/Parastatals, NGOs, and others	To respond to policies, reports, notes and proposals received as and when needed	%		100	100	30	100	100
	Provide support to selected ULBs on property tax and improved municipal accounting system under Satellite Town Development Scheme	Timely completion of study	%		50	100	10		
	Support JNNURM in terms of Reform Appraisal	Timely completion of field visits and report writing	Number	62 Reports	58	62	20		

Objective	Actions	Success Indicator	Unit	Actual value for FY 09/10	Actual value for FY 10/11	Target value for FY 11/12	Actual value till 30th June for FY 11/12	Projected value for FY 12/13	Projected value for FY 13/14
	Support to Subgroups on 12 th FYP/NDC Sub Committees on Urban Development	Timely completion of reports	%				10		
	Efficient management of PEARL	Number of best practice documentation	%		100	100	25		
To disseminate and support exchange of information on innovations reforms and best practices in the field of urban development	Publication in urban sector	Newsletters/ Journals (6)	Number		6	6	30		
	Holding workshops for the purpose of dissemination	Number of participants attending Workshops.	Number		-	85	-		
Capacity building and training of functionaries on pressing urban issues	Capacity Building Training Programme in JNNURM and UD Sectors, etc. to be undertaken on e-governance, accounting and property tax.	Number of participants attending Workshops.	Number			500	-		
	Efficient management of websites	Websites (Hits/Month Including NIUA and PEARL web sites- 250,000 hits/month)	Number		2,25,000	2,50,000	2,00,000		

Section 4:

Description and Definition of Success Indicators and Proposed Measurement Methodology

1. Provide knowledge and administrative support: Success indicator is defined as timely completion of study

Proposed measurement: Percentage of the study completed.

2. Completion of the research projects: Success indicator is defined as timely completion of research studies.

Proposed measurement: Percentage of the study completed.

3. Capacity Building: Success indicator is defined as the total number of persons trained in the workshops. Since it is difficult to devise a method for measurement of efficient training.

4. Reform Appraisal: Success indicator is defined as the number of reports submitted based on the field visits and analysis of secondary information.

Section 5:

Specific Performance Requirements from Other Departments

Department	Relevant success indicator	What do you need?	Why do you need it?	How much you need?	What happens if you do not get it?
Respective administrative Ministries / Deptts.	Sanction of projects/ Release of funds	Expeditious releases	Projects cannot progress if funds are not given on time	Fully Dependent	Research Projects will get delayed or stalled.
State Government including its State Level Nodal Agency	Establishing Coordination with ULBs	To expedite data collection for research projects	Research cannot progress without proper database and coordination	Fully Dependent	Coordination with ULBs becomes difficult which comes in the way of field research
External Funding Agencies	Sanction of projects/ Release of funds	Capacity building and Funds	For carrying out the research and completion of the project.	Fully Dependent	Research Projects will get delayed or stalled.
Urban Local Bodies	Providing required data base for research and documentation	Data, Reports and relevant information for specific research	For carrying out the research and completion of the project.	Fully Dependent	Research Projects will get delayed
Research Partners (AIIISG, CURE, CMAs, CEPT etc.)	Providing specific inputs in research and documentation	Specific inputs in research and documentation	For carrying out the research and completion of the project on time.	Partially Dependent	Research Projects will get delayed

Section 6

Outcome/impact of activities of organization

1	2	3	4	5	6	7	8	9
S. No	Outcome/ impact of organization/RCs	Jointly responsible for influencing this outcome/ impact with the following organization (s)/ departments/ ministry (ies)	Success Indicator (s)	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014
1	To facilitate research on urban issues for formulating urban policies and sustainable urban development programmes	MoUD, HUPA, RCUES, IIPA, Concerned ULBs, Government Departments/Parastatals, NGOs, Planning commission	timely completion of the project		100	100		
2	Coordinate research and carry out evaluation and appraisal of urban development programmes		Timely completion of field visits and report writing		58	62		
3	To disseminate and support exchange of information on innovations, best practices and reforms in the field of urban development		Best practice documentation, Publication of Newsletters/ Journals		100	100		
4	Capacity building and training of functionaries on pressing urban issues		Number of participants attending workshops, website hits/day		100	100		