



सत्यमेव जयते

Ministry of Urban Development
Government of India

**CONSULTATION WORKSHOP
WITH STATES AND STAKEHOLDERS
ON
SMART CITIES**

30TH & 31ST JANUARY 2015

VIGYAN BHAWAN
NEW DELHI

LIST OF INVITEES

- 1. States and Union Territories**
 - a. All Principal Secretaries (UD)
 - b. Upto 5 Municipal Commissioners/Heads of Development Authorities

- 2. Government of India**
 - a. Additional Secretary (UD)
 - b. All Joint Secretaries (UD and HUPA)
 - c. Representative: Ministry of Railways
 - d. Representative: Ministry/Department of IT
 - e. Representative: Ministry of Power
 - f. Representative: Ministry of Telecom
 - g. Representative: Ministry of Commerce

- 3. Urban Development Experts**

- 4. Companies and individuals who have met the Minister and Secretary with presentations and ideas**

WORKING GROUPS

Guidelines for Working Group Configuration

- Each Working Group to comprise Principal Secretaries (UD) of States, Municipal Commissioners, Industry members, Urban experts
- Each group to be a mix of cities of different sizes (big/small) and states from different regions
- Principal Secretary & Commissioners from same state to participate in different groups (preferred)
- Representatives from private sector to be spread across themes
- Participants of each group to nominate the group leader when they meet

Roles and Format

S.No	Participant Category	Role
1	Government Participants	<ul style="list-style-type: none">• Agree on key issues for deliberation• Finalize agenda on specific theme• Agree report back to plenary next day
2	Urban Experts	<ul style="list-style-type: none">• Provide perspective on issues• Challenge the group
3	Facilitator	<ul style="list-style-type: none">• Set up and moderate discussion• Capture key discussion points• Help prepare report and presentation for plenary
4	Private Sector	<ul style="list-style-type: none">• Add perspective on issues• Observe & address any questions raised by group

Working Group 1

Conditions Precedent & Selection Criteria for Competition

Venue: Hall No. 2



* List of experts may change

Working Group 2

Pan-City Development & Exemplary Developments

Venue: Hall No. 4

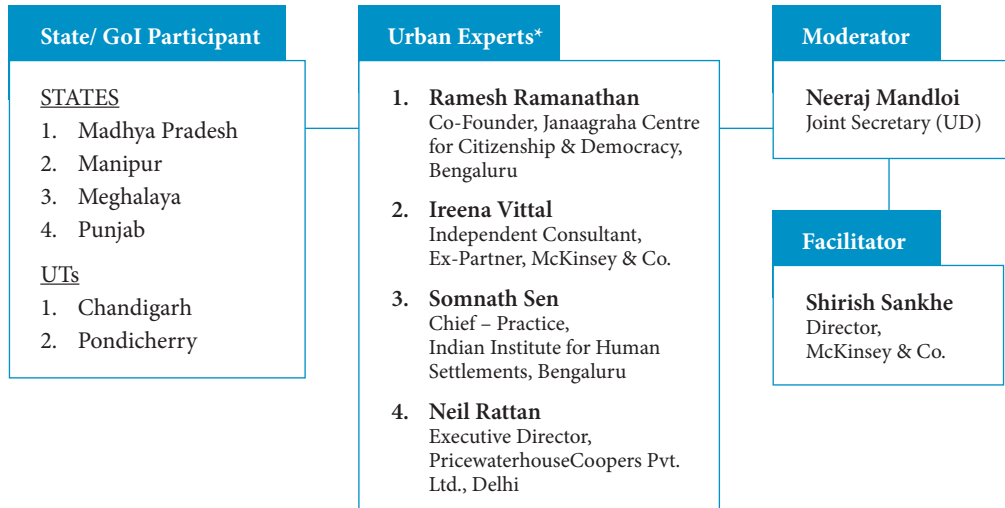


* List of experts may change

Working Group 3

Reforms Agenda

Venue: Annexe Conference Room



* List of experts may change

Working Group 4

Innovative Funding

Venue: Hall No. 1



* List of experts may change

THEMES FOR BREAKOUT SESSIONS WITH WORKING GROUPS

WORKING GROUP 1 :

Conditions Precedent to be eligible, Selection Criteria for Competition and Output/Outcomes to be baselined and tracked

Points of discussion: Conditions precedent

- The conditions precedent should not eliminate too many cities
- What should the conditions precedent be? Examples could be:
 - Collection of 50% taxes and fees?
 - Assessing atleast 60% of the properties shown in Census?
 - All information in public domain (full disclosure)?
 - Having an existing grievance redressal system in which all grievances are attended to and replied within timelines set?
 - Double entry accounting system?
- Link outcomes stated in earlier projects to funds release?
- Impose compensatory penalty for delayed projects, collected from the salary of officers who have caused the delay?
- Should conditions precedent be linked to a master plan?

- Selection Criteria
 - Demonstrated ability to deliver projects on time at estimated cost
 - Innovation and Transformative solutions- how to assess the innovation or the transformational nature of the solution? One way could be to pick up best and innovative practices from other locations and scale these up. Another way could be to determine the causes of failure of previous projects and the learnings thereof.
 - Process followed to generate the proposal (participation of citizens and other stakeholders).
 - Feasible time frame in which the plans can be implemented.
- Evaluation Criteria in taking proposals from 'Good' to 'Great'
 - Impact Assessment: baseline and track progress on indicators
 - Inclusiveness of process followed to design proposal
 - Effectiveness & Efficiency of proposal
 - Share of self-funding
 - Clarity of funding for post-project maintenance
 - Innovation/Transformational & Scalability
 - Separate categories of projects sanctioned during the Mission period, Transitory period and New Mission period.

Outputs

- Method of conducting the challenge (competition) to select Smart Cities (Formats for online competition for Smart City and NUDM).
- Conditions Precedent for NUDM challenge.
- Precedent conditions for Smart City challenge.
- First set of Criteria for selection of retrofits, redevelops and greenfield developments.
- Final set of criteria for selection of cities on the basis of Area-based developments.
- Final set of criteria for selection of cities on the basis of Pan-city projects.
- Capacity needs to participate in the challenge. Need for external assistance.

WORKING GROUP 2 :

Pan-City Development and its intersection with NUDM & Exemplary Development (Retrofitting/Redevelopment/Greenfield)

The working group will deliberate upon the Smart outcomes expected from the three types of smart developments (retrofit, redevelopment and greenfield) and the Pan City interventions, keeping in view the National Missions, the Concept Paper of the MoUD, and other benchmarks for Smart Cities.

Points of Discussion

- How many proposals will the cities be able to generate in PPP mode and what are the resources required for the same?
- What should be the admissible components in the proposal?
- How can land value be captured through innovative planning of the 4 types of development?
- How will these lead to achievement of goals of Swachh Bharat, Digital India etc.
- How will these developments generate jobs and lead to economic growth?
- Do these projects address issues relating to people coming to the city to work?
- How are these projects located within the master plan and city development plan (if there is one)?
- Should Special Purpose Vehicles be used for projects and how should they be formed?
- What is the feasible timeframe in which this will be implemented?
- How to release funds periodically based on measurable progress?

Outputs

- Wish List of projects with clear articulation of priorities ('quick wins'/'low hanging fruit' and more long term projects)
- Ways to track the outcomes, outputs and activities and link them with the release of funds. What are the appropriate indicators?
- Method of execution required in order to complete developments within timelines and budgets.
- Capacity needs to participate in the competition. Need for external assistance.

WORKING GROUP 3 : ***Reforms and Enabling Mechanisms***

Points of Discussion

- Status of reforms committed in the earlier Mission.
- Use of e-Governance.
- Who should be the nodal officer to prepare the proposals and implement the projects e.g. Municipal Commissioner, Vice Chairman, Collector, et al?
- What is the feasibility of GIS based master planning?
- Include local people in decision-making – level of control on O&M, services, functionaries of local ward or locality.
- Include local people in planning and execution – prepare peoples' DPRs.
- Make coordination more effective.

Outputs

- List of Smart reforms at state and city-levels: PHE, Planning, E-Gov, Finance
- Components of Smart urban governance and linkages with E-Gov programme.
- Ways of linking reforms to funds release.
- Capacity needs to carry-out reforms. Need for external assistance?

WORKING GROUP 4 :
Innovative funding pattern for 4 types of developments

Points of Discussion

- Resource mobilization through convergence of GoI and State-level schemes
- Traditional and innovative financial tools available for ULBs.
- Making Peoples Public Private Partnership (PPPP) operational.
- Useful forms of PPP for execution to make cities Smart:
- Municipal Bonds
- Pooled Finance Development Scheme (PFDS)
- Use of tax increment financing
- Business Investment Districts (BIDs)
- Ways to encourage foreign and Indian companies to contribute to Smart City building: Incentives, facilitation, equity partnership
- Making a hassle-free environment for the private sector to invest in the municipal financial system.

Outputs

- Identify list of financial tools to be adopted to make cities Smart:
 - Municipal Bonds
 - Pooled Finance Development Scheme (PFDS)
 - Use of tax increment financing
 - Business Investment Districts (BIDs)
- Methods of incentivizing urban local bodies to opt for Smart financial tools and Smart ways of augmenting resources.
- Extent to which PPP can be used in 4 types of development
- Map/graph regarding the kind of funding that can be used for different projects.

AGENDA

30TH JANUARY 2015

DAY 1

10.30 am - 10.45 am	Setting the context of workshop by JS (Smart Cities)
10.45 am - 11.00 am	Presentation by Secretary (UD) on Smart Cities
11.00 am - 11.15 am	Presentation by Shirish Sankhe, McKinsey & Co.
11.15 am - 11.30 am	Presentation by Rolta – Making cities secure
11.30 am - 12.00 am	Lamp Lighting
	Welcome Address, Secretary (DW&S)
	Address by Minister (DW&S), Shri Chaudhary Birender Singh
	Secretary (C&IT) invites Minister (C&IT) to release the stamp
	Release of commemorative postage stamp on Swachh Bharat
	Minister (C&IT) presents the postage stamp to Minister (Urban Development)
	Address by Minister (C&IT), Shri Ravi Shankar Prasad
	Address by Minister (Urban Development), Shri Venkaiah Naidu

contd.

30TH JANUARY 2015

DAY 1

12.00 pm – 12.50 pm	Plenary 1: Presentations (10 min. each)	NBCC
		Bimal Patel
		Satya Sheel
		Shailesh Pathak
		Swati Ramanathan
12.50 pm – 1.15 pm	Open House Discussion	
1.15 pm – 2.00 pm	Lunch Break	
2.00 pm – 2.15 pm	Formation of Working Groups	
2.15 pm – 5.00 pm	Working Group Discussions	
5.00 pm – 5.30 pm	Plenary 2: Q&A on Working Group Discussions	

31ST JANUARY 2015

DAY 2

10.00 am – 10.30 am	Plenary 3: Presentations (15 min. each)	Greenfield Development 1 Greenfield Development 2
10.30 am – 10.45 am	Q&A	
10:45 am – 11:00 am	Tea Break	
11:00 am – 12:00 pm	Plenary 4: Discussions on State-wise specific issues	
12:00 pm – 1.30 pm	Working Group Discussions	
1.30 pm – 2.30 pm	Lunch Break	
2.30 pm – 3.30 pm	Working Group Discussions on Synthesis of recommendations	
3.30 pm – 4.30 pm	Plenary 5: Presentation of Synthesis of recommendations chaired by MoS (UD)	
4.30 pm – 5.00 pm	Q&A and wrap-up	

